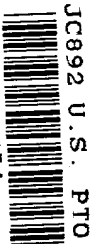


11/09/01



J0892 U.S. PTO

1-4-02

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11/09/01  
10/035356  
J1017 U.S. PTO



**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No. : 47419/MJM/C715  
Inventor(s) : Michael L. TARTAGLIA, Carl EMBRY, Mark STIEHL, and Timothy BINGAMAN  
Title : PLASTIC ENCAPSULATION OF OPTOELECTRONIC DEVICES FOR OPTICAL COUPLING  
Express Mail Label No. : EL408321497US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: November 9, 2001

1. X **FEE TRANSMITTAL FORM** *(Submit an original, and a duplicate for fee processing).*

2. **IF A CONTINUING APPLICATION**

       This application is a        of patent application No. .

Prior application information: Examiner ; Group Art Unit:

X This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/260,633, filed January 8, 2001.

3. **APPLICATION COMPRISED OF**

**Specification**

23 Specification, claims and Abstract (total pages)

**Drawings**

11 Sheets of informal drawing(s) (FIGS. 1 to 11)

**Declaration and Power of Attorney**

X Newly executed

       Unexecuted declaration

       Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4.        **Microfiche Computer Program** *(Appendix)*

5.        **Nucleotide and/or Amino Acid Sequence Submission** *(if applicable, all necessary)*

       Computer Readable Copy

       Paper Copy (identical to computer copy)

       Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

X Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

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Docket No.: 47419/MJM/C715

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**7. ALSO ENCLOSED ARE**

- ☐ Preliminary Amendment
  - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

**8. CORRESPONDENCE ADDRESS**

***CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068***  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Mark J. Marcelli

Reg. No. 36,593

626/795-9900

MJM/dah

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: November 9, 2001**

Docket No. : 47419/MJM/C715  
Inventor(s) : Michael L. TARTAGLIA, Carl EMBRY, Mark STIEHL, and Timothy BINGAMAN  
Title : PLASTIC ENCAPSULATION OF OPTOELECTRONIC DEVICES FOR OPTICAL COUPLING  
Duplicate       

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	29 - 20	9	9 x \$9.00	x \$18.00	\$81.00
INDEPENDENT CLAIMS	4 - 3	1	1 x \$42.00	x \$84.00	\$42.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	
BASIC FEE			\$370.00	\$740.00	\$370.00
TOTAL FILING FEE					\$493.00
List Independent Claims: 1, 17, 18, and 28					

**METHOD OF PAYMENT**

  X   Payment Enclosed: Check for \$493.00

  X   The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

Mark J. Marcelli  
Reg. No. 36,593  
626/795-9900